

Request to Customers for Voluntary Notification Regarding Foreign Politically Exposed Person (PEP)

As a result of revisions to the law,* Tokyo Star Bank (“TSB”) is requesting its customers to voluntarily notify us regarding whether or not customers or their family members identify as an individual who has been entrusted with a prominent public function in his or her country, i.e., a “foreign politically exposed person” (“Foreign PEP”).

As such, we request our customers to fill in the designated, voluntary notification items.

Please understand that we may not be able to accommodate transaction requests of customers who are unable to provide such notification.

*Revision of the Act on Prevention of Transfer of Criminal Proceeds and the order and ordinance for enforcement thereof (effective as of October 1, 2016)

Those Considered to be a Foreign PEP

(Pursuant to Article 12 and Article 15 of the relevant order and ordinance, respectively)

1. A person who is employed in their country as follows:
 - Head of the State;
 - Prime minister, state minister or assistant minister, or the equivalent thereof;
 - Head or vice-head of the Upper or Lower House, or the equivalent thereof;
 - Supreme court justice, or the equivalent thereof;
 - Ambassador or envoy extraordinary and plenipotentiary, government representative or plenipotentiary, or the equivalent thereof;
 - Overall chief or vice-chief of staff, or army, navy, or air-force chief or vice-chief of staff, or the equivalent thereof;
 - Officer of the central bank; or
 - Corporate officer who requires a resolution or approval of the congress or parliament, as applicable, regarding the budget.
2. A person who has fallen under Section 1 above in the past.
3. A family member (i.e., a spouse*, parent, child, sibling, or parent or child of the spouse) of a person who falls under Section 1 or 2 above.

*The term “spouse” includes a person who is in a de facto marital relationship despite not submitting official marriage documents.